

**THE FEES DUE TO THE AUTHORITY IN
IMPLEMENTATION OF THE PROVISIONS
OF FEDERAL LAW No. (4) OF 2000**

**The Chairman of the Board of Directors of the Securities and
Commodities Authority...**

Having considered the provisions of Federal Law No. (4) of 2000 concerning the Emirates Securities and Commodities Authority and Market,

Council of Ministers Resolution No. (11) of 2000 concerning the Regulations as to Market Licensing and Supervision,

Council of Ministers Resolution No. (12) of 2000 concerning the Regulations as to the Listing of Securities and Commodities,

Council of Ministers Resolution No. (193/18) of 2002 of 13/3/2000 concerning the constituting of the Board of Directors of the Securities and Commodities Authority,

The Resolution of the Chairman of the Board of Directors of the Authority No. (1) of 2000 as to the Regulations for Brokers,

After consultation and coordination with the bodies concerned with Licensed Markets in the State,

and

Pursuant to the Resolution of the Board of Directors of the Authority at its meeting held on 5/2/2002.

Article (1)

The following fees shall be charged to Brokers:

1. Fee for application for registration with the Authority 1,000 Dirhams
2. Fee for registration with the Authority 5,000 Dirhams
3. Fee for annual renewal of registration with the Authority 2,500 Dirhams
4. Fee for re-registration with the Authority 5,000 Dirhams
5. Fee for removal from the Authority's registers 1,000 Dirhams

Article (2) *

1. Fees for listing of companies shall be charged according to the attached table.
2. The Authority shall collect the fees specified in the items (1 & 8) of the attached table, and the concerned market shall collect the fees specified in items (2, 3, 4, 5, 6 and 7) of the same table and shall transfer the share of the Authority once collected.
3. The term of listing shall be one year ending at the end of December each year. The term of the first listing, however, shall be from the date of the Authority's approval of the listing through the end of December of the same year. In such case, the fees shall be proportionally charged based on the remaining period of the year subject to calculating any part of the month as full month.

Article (3)

The following fees shall be charged on trading-The provisions of article (15) of the Regulations as to Brokers shall be applied in this regard.

Article (4)

The following fees shall be charged on the markets:

1. Fee for application for licensing by the Authority 1,000 Dirhams.
2. Fee for registration with the Authority 5,000 Dirhams
3. Fee for renewal of registration with the Authority 2,000 Dirhams.
4. Fee for cancellation of registration with the Authority 1,000 Dirhams.

Article (5)

This resolution shall be gazetted in the Official Gazette.

Fahim bin Sultan Al Qasimi
Chairman of the Board of Directors

Issued in Abu Dhabi
On 24 Dul-Qa'da 1422 Hijri
Corresponding to 6/2/2002 AD

* Article (2) has been added according to decision No (8) of 2011.

Listing Fees

S/N	Fee	Value of the Fee	Payable to
1	Application fee to list a company	(2,000) Dirhams	SCA
2	Listing fee of a company with a capital of (500) million Dirhams or less	(30,000) Dirhams	- (40%) to SCA - (60%) to the concerned market
3	Listing fee of a company with a capital of more than (500) million Dirhams up to (2) billion Dirhams	(50,000) Dirhams	- (40%) to SCA - (60%) to the concerned market
4	Listing fee of a company with a capital exceeding (2) billion Dirhams	(100,000) Dirhams	- (40%) to SCA - (60%) to the concerned market
5	Listing renewal of a company with a capital of (500) million Dirhams and less	(30,000) Dirhams	- (30%) to SCA - (70%) to the concerned market
6	Listing renewal of a company with a capital of more than (500) million Dirhams up to (2) billion Dirhams	(50,000) Dirhams	- (30%) to SCA - (70%) to the concerned market
7	Listing renewal of a company with a capital exceeding (2) billion Dirhams	(100,000) Dirhams	- (30%) to SCA - (70%) to the concerned market
8	Application fee to cancel a listing	(1,000) Dirhams	SCA