

UAE Securities and Commodities Authority Licensing Regime

Job Function	Broker Representative	Compliance Officer/Anti-Money Laundering Officer/Internal Controller	Trading/Operations Manager/ Clearing Officer	Risk Management Officer	Financial Analyst	Investment Manager/Investment Chief	Promoter
Exams Required for SCA Licence	UAE Financial Rules and Regulations	UAE Financial Rules and Regulations	UAE Financial Rules and Regulations	UAE Financial Rules and Regulations	UAE Financial Rules and Regulations	UAE Financial Rules and Regulations	UAE financial Rules and Regulations
	Introduction to Securities and Investment (International)	Introduction to Securities and Investment (International)	Introduction to Securities and Investment (International)	Introduction to Securities and Investment (International)	International Certificate in Wealth and Investment Management (level 3)	International Certificate in Wealth and Investment Management (level 3)	Introduction to Securities and Investment (international)
	Global Securities	Global Securities	Global Securities	Risk in Financial Services	Risk in Financial Services	Risk in Financial Services	International Certificate in Wealth and Investment Management (Level 3)
		Global Financial Compliance	Operational Risk	Operational Risk	Global Securities	International Certificate in Advanced Wealth Management (level 4)	Risk in Financial Services