

Reporting capital market violations

Service Code : 370.09.012.000

Classification : G2B

Service Type : Procedural

Sub Service Type : Variation

Service Sector / Department

Enforcement Dept.

Service Duration

Instant

Service Audience

Public

Priority Service

No

Service Description

This service allows all financial market participants to report any practices that violate the provisions of the SCA's law and the regulations and decisions issued thereunder, as well as the provisions of the Commercial Companies Law and the regulations issued there under

Service steps & procedures

1. Login the SCA's official website
2. Select the service and click "Start Service". Fill out the application form and provide the required documents

Requirements & Documents

TERMS AND CONDITIONS:

1. The subject of the report should be related to financial market activities or financial services subject to SCA's supervision.
2. The subject of the report should not have been heard before any instigative or judicial authorities within the UAE, nor should a judicial ruling have been issued in its regard.

3. The report must be written in Arabic, or accompanied by an Arabic translation, if submitted in a foreign language.
4. All the data and information requested in the report submission application form must be provided.
5. Supporting documents, if any, must be submitted.

REQUIRED DOCUMENTS:

1. Copy of the Emirates ID or the passport plus the residence permit (if any).
2. Authorization (if applicable).
3. Copy of all the documents related to the party against which the complaint is made.

Frequently Asked Question